

IMPORTERS' QUESTIONNAIRE
CERTAIN FROZEN WARMWATER SHRIMP AND PRAWNS FROM
INDIA AND THAILAND

Return completed questionnaire to:

UNITED STATES INTERNATIONAL TRADE COMMISSION
Office of Investigations, Room 615
500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than August 4, 2005

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its changed circumstances reviews concerning certain frozen warmwater shrimp and prawns from India and Thailand (invs. Nos. 751-TA-28-29). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm _____
Address _____
City _____ State _____ Zip code _____
World Wide Web address _____

Has your firm imported certain frozen warmwater shrimp and prawns (as defined in the instruction booklet) from any country at any time since January 1, 2001?

☐

NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)

☐

YES (Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By signing this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout these investigations in any other import-injury investigations conducted by the Commission on the same or similar merchandise. (If you do not consent to such use, please note the certification accordingly.)

I acknowledge that information submitted in this questionnaire response and throughout these investigations may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of these investigations or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name and Title of Authorized Official

Date

Signature of Authorized Official

() _____
Phone

() _____
Fax

PART I. GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

- I-1. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____ hours _____ dollars

- I-2. Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

- I-3. Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes—List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____

- I-4. Does your firm have any related firms, either domestic or foreign, which are engaged in importing certain frozen warmwater shrimp and prawns from India and/or Thailand into the United States or which are engaged in exporting certain frozen warmwater shrimp and prawns from to the United States?

☐ No ☐ Yes—List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

PART I. GENERAL QUESTIONS—Continued

I-5. Does your firm have any related firms, either domestic or foreign, which are engaged in the production of certain frozen warmwater shrimp and prawns?

☐ No ☐ Yes—List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

I-6. Please indicate the nature of your firm's importing operations on certain frozen warmwater shrimp and prawns. More than one answer may be applicable.

<input type="checkbox"/> Importer of record	<input type="checkbox"/> Takes title to the imported product(s)
<input type="checkbox"/> Consignee of the imported product(s)	<input type="checkbox"/> Customs broker or freight forwarder

I-7. If your firm is an importer of record of certain frozen warmwater shrimp and prawns but is **not** the consignee, please list the consignees below (company name, address, telephone, and individual to contact).

I-8. Please indicate whether your firm enters certain frozen warmwater shrimp and prawns into, or withdraws such merchandise from, foreign trade zones or bonded warehouses.

Foreign trade zones	<input type="checkbox"/> No	<input type="checkbox"/> Yes
Bonded warehouses	<input type="checkbox"/> No	<input type="checkbox"/> Yes

I-9. Please indicate whether your firm imports certain frozen warmwater shrimp and prawns under the TIB (temporary importation under bond) program.

☐ No ☐ Yes

I-10. To your knowledge, have the products subject to these investigations been the subject of any other import relief investigations in the United States or in any other countries?

☐ No ☐ Yes—Please specify. _____

PART II.—TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Jim McClure (202-205-3191; james.mcclure@usitc.gov)**. **Supply all data requested on a calendar-year basis.**

II-1. Who should be contacted regarding the requested trade and related information?

Company contact: _____
Name and title

Phone No.

E-mail address

NOTE 1: In the event your firm submitted an Importers' Questionnaire during the course of Investigation Nos. 731-TA-1063-1068 (Final), Certain frozen or canned warmwater shrimp and prawns from Brazil, China, Ecuador, India, Thailand, and Vietnam, you may simply copy the data you provided for 2001, 2002, 2003, and January-June 2004 (see question II-5), leaving you full-year 2004 and January-June 2005 as well as January-March 2004-2005 and April-June 2004-2005 (see question II-6) to complete. data to complete.

NOTE 2: IF YOUR ANSWERS TO ANY QUESTIONS IN THIS SECTION ARE DIFFERENT FOR INDIA AND THAILAND, PLEASE RESPOND SEPARATELY FOR EACH COUNTRY.

NOTE 3: "Imposition of antidumping duties" refers to antidumping duties in place on imports of certain warmwater shrimp and prawns from India and/or Thailand that were first imposed on August 4, 2004. "Tsunami" refers to the December 26, 2004 tsunami that struck India and Thailand.

II-2. Has your firm experienced any plant openings, relocations, expansions, acquisitions, consolidations, closures, or prolonged shutdowns because of strikes or equipment failure, or any other change in the character of your operations or organization relating to the importation of certain frozen warmwater shrimp and prawns from India and/or Thailand since July 1, 2004?

☐ No

☐ Yes—Supply details as to the time, nature, and significance of such changes.
(Please comment on any impact resulting from the imposition of antidumping duties or the tsunami. If both events had an impact, please comment on each event separately).

PART II. TRADE AND RELATED INFORMATION—Continued

II-3. Has your firm imported or arranged for the importation of certain frozen warmwater shrimp and prawns from India and/or Thailand for delivery after June 30, 2005?

☐ No

☐ Yes—Indicate when such orders are to be delivered and the quantities involved.

II-4. Has your firm received offers to obtain certain frozen warmwater shrimp and prawns from India and/or Thailand for delivery after June 30, 2005

☐ No

☐ Yes—Indicate when such offers were received and the quantities offered for sale (if known).

PART II.—TRADE AND RELATED INFORMATION—Continued

II-5. If your firm also produces certain frozen warmwater shrimp and prawns in the United States, please indicate your reasons for importing this product. If your reasons differ by source, please elaborate.

NOTE: In the event your firm submitted an Importers' Questionnaire during the course of Investigation Nos. 731-TA-1063-1068 (Final), Certain frozen or canned warmwater shrimp and prawns from Brazil, China, Ecuador, India, Thailand, and Vietnam, you may simply copy the data you provided for 2001, 2002, 2003, and January-June 2004, leaving you full-year 2004 and January-June 2005 to complete (see question II-6).

PART II.—TRADE AND RELATED INFORMATION—Continued

- II-6. **IMPORTS BY SOURCE.**—Report your firm's imports and your firm's shipments and inventories of certain frozen warmwater shrimp and prawns imported by your firm during the specified periods. (See definitions in the instruction booklet.) **Report separately for each country listed on page 1 of the questionnaire, for other countries whose imports are subject to antidumping duties (i.e., Brazil, China, Ecuador, and Vietnam combined), and for all other sources combined.** Photocopy as many pages as you need and identify the country for which you are reporting in the space provided. **NOTE: Do not report canned product.**

India ☐ Thailand ☐

Other countries subject to antidumping duties (Brazil, China, Ecuador, and Vietnam combined) ☐

All other sources combined ☐

(Quantity in 1,000 pounds, value in \$1,000)						
Item	Calendar years				January-June	
	2001	2002	2003	2004	2004	2005
BEGINNING-OF-PERIOD INVENTORIES (quantity)						
IMPORTS: ²						
Quantity of imports						
Value of imports						
U.S. SHIPMENTS:						
Commercial shipments:						
Quantity of commercial shipments						
Value of commercial shipments						
Internal consumption/company transfers:						
Quantity of internal consumption/transfers						
Value ³ of internal consumption/transfers						
EXPORT SHIPMENTS: ⁴						
Quantity of export shipments						
Value of export shipments						
END-OF-PERIOD INVENTORIES ⁵ (quantity)						
U.S. SHIPMENTS TO DISTRIBUTORS (quantity)						
U.S. SHIPMENTS TO PROCESSORS (quantity)						
U.S. SHIPMENTS TO RETAILERS ⁶ / INSTITUTIONAL BUYERS ⁷ (quantity)						

¹ Please identify these sources: _____

² Identify the foreign producers, if known: _____

³ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for 2001, 2002, 2003, and 2004 below: _____

⁴ Identify your principal export markets: _____

⁵ **Reconciliation of data.**—Note that the **quantities** reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

☐ Yes ☐ No—Please explain: _____

⁶ Entities that purchase and resell to end users (i.e., supermarket and other retailers that sell to customers).

⁷ Entities such as restaurants, hotels, hospitals, etc.

Note.—The sum of shipments to distributors, processors, and retailers/institutional buyers should equal U.S. commercial shipments reported above; it should exclude U.S. shipments to related firms and for internal consumption.

PART II. TRADE AND RELATED INFORMATION—Continued

- II-7. **IMPORTS BY SOURCE.**—Report your firm's imports of certain frozen warmwater shrimp and prawns imported during the specified periods. **Photocopy as many pages as you need and identify the country for which you are reporting in the space provided. NOTE: Do not report canned product.**

India ☐ Thailand ☐

Other countries subject to antidumping duties (Brazil, China, Ecuador, and Vietnam combined) ☐

All other sources combined¹ ☐

(Quantity in 1,000 pounds, value in \$1,000)				
Item	January-March		April-June	
	2004	2005	2004	2005
IMPORTS:				
Quantity of imports				
Value of imports				

- II-8. For periods specified below, provide the share (in percent) of your commercial shipments of certain frozen warmwater shrimp and prawns that were:

2004:

India: farmed _____ wild-caught _____ = 100 percent

Thailand: farmed _____ wild-caught _____ = 100 percent

Jan.-Mar. 2005:

India: farmed _____ wild-caught _____ = 100 percent

Thailand: farmed _____ wild-caught _____ = 100 percent

April-June 2005:

India: farmed _____ wild-caught _____ = 100 percent

Thailand: farmed _____ wild-caught _____ = 100 percent

PART III. PRICING AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **John Benedetto** (202-205-3270; john.benedetto@usitc.gov).

IF YOUR ANSWERS TO ANY QUESTIONS IN THIS SECTION ARE DIFFERENT FOR INDIA AND THAILAND, PLEASE RESPOND SEPARATELY FOR EACH COUNTRY.

NOTE: "Imposition of antidumping duties" refers to antidumping duties in place on imports of certain warmwater shrimp and prawns from India and/or Thailand that first became effective August 4, 2004. "Tsunami" refers to the December 26, 2004 tsunami that struck India and Thailand.

III-1. Who should be contacted regarding the requested pricing and related information?

Company contact: _____
Name and title

Phone No. E-mail address

III-2. Have there been any changes in your firm's pricing methods or discounts for sales of certain frozen warmwater shrimp and prawns from India or Thailand since July 1, 2004?

Please check ALL that apply.

☐ No.

☐ Yes, as a result of the impact of the tsunami. Please explain below.

☐ Yes, as a result of the impact of the imposition of antidumping duties. Please explain below.

☐ Yes, as a result of another reason, _____. Please explain below.

PART III. PRICING AND RELATED INFORMATION–*Continued*.

III-3. How have overall prices within the United States for certain frozen warmwater shrimp and prawns changed since July 1, 2004?

☐ Increased ☐ Unchanged ☐ Decreased

If increased or decreased, please check ALL that apply.

☐ Increased/decreased, as a result of the impact of the tsunami. Please explain below.

☐ Increased/decreased, as a result of the impact of the imposition of antidumping duties.
Please explain below.

☐ Increased/decreased, as a result of another reason, _____.
Please explain below.

III-4. Has your firm observed any significant changes in the price of certain frozen warmwater shrimp and prawns from India and/or Thailand in the United States since July 1, 2004?

☐ Increased ☐ Unchanged ☐ Decreased

If increased or decreased, please check ALL that apply.

☐ Increased/decreased, as a result of the impact of the tsunami. Please explain below.

☐ Increased/decreased, as a result of the impact of the imposition of antidumping duties.
Please explain below.

☐ Increased/decreased, as a result of another reason, _____.
Please explain below.

PART III. PRICING AND RELATED INFORMATION--Continued

III-5. How has the demand within the United States (and outside the United States if known) for certain frozen warmwater shrimp and prawns changed since July 1, 2004?

☐ Increased ☐ Unchanged ☐ Decreased

If increased or decreased, please describe changes and supply reasons.

III-6. Have there been any significant changes in the product range or marketing of certain frozen warmwater shrimp and prawns since July 1, 2004?

Please check ALL that apply.

- ☐ No.
- ☐ Yes, as a result of the impact of the tsunami. Please explain below.
- ☐ Yes, as a result of the impact of the imposition of antidumping duties. Please explain below.
- ☐ Yes, as a result of another reason, _____. Please explain below.

PART III. PRICING AND RELATED INFORMATION--Continued

III-7. Has your firm experienced any significant changes in its ability to import certain frozen warmwater shrimp and prawns from India and/or Thailand to the United States? Please include delays and cancellations as well as any changes in availability and any projections your firm has for 2005 and 2006 imports of certain frozen warmwater shrimp and prawns from India and/or Thailand.

Please check ALL that apply.

☐ No.

☐ Yes, as a result of the impact of the tsunami. Please explain below.

☐ Yes, as a result of the impact of the imposition of antidumping duties. Please explain below.

☐ Yes, as a result of another reason, _____. Please explain below.

III-8. Has there been any change in the interchangeability between certain frozen warmwater shrimp and prawns from the United States and certain frozen warmwater shrimp and prawns from India and/or Thailand since July 1, 2004?

Please check ALL that apply.

☐ No.

☐ Yes, as a result of the impact of the tsunami. Please explain below.

☐ Yes, as a result of the impact of the imposition of antidumping duties. Please explain below.

☐ Yes, as a result of another reason, _____. Please explain below.

PART III. PRICING AND RELATED INFORMATION--*Continued*

III-9. Have you changed suppliers since July 1, 2004?

Please check ALL that apply.

☐ No.

☐ Yes, as a result of the impact of the tsunami. Please explain below, listing the supplier and indicating whether the supplier was added or dropped.

☐ Yes, as a result of the impact of the imposition of antidumping duties. Please explain below, listing the supplier and indicating whether the supplier was added or dropped..

☐ Yes, as a result of another reason, _____. Please explain below, Please explain below, listing the supplier and indicating whether the supplier was added or dropped..
